



MEETING:       AUDIT AND GOVERNANCE COMMITTEE

DATE:           Wednesday 19th July, 2023

TIME:           3.00 pm

VENUE:         Assembly Hall - Bootle Town Hall, Trinity Road, Bootle, L20 7AE

**Member**

Councillor Robinson (Chair)  
Councillor Roche (Vice-Chair)  
Councillor John Joseph Kelly  
Councillor Catie Page  
Councillor Pugh  
Councillor Shaw  
Councillor Spring  
Councillor Thomas  
Councillor Anne Thompson  
Councillor Webster

**Substitute**

Councillor Bradshaw  
Councillor Murphy  
Councillor Howard  
Councillor Lunn-Bates  
Councillor Brodie - Browne  
Councillor Lloyd-Johnson  
Councillor Halsall  
Councillor Hansen  
Councillor Spencer  
Councillor Hardman

**Independent Member**

Rachel Oakes

COMMITTEE OFFICER:     Ruth Appleby  
                                  Democratic Services Officer

Telephone:                0151 934 2181

E-mail:                     ruth.appeby@sefton.gov.uk

**If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.**

We endeavour to provide a reasonable number of full agendas, including reports at the meeting. If you wish to ensure that you have a copy to refer to at the meeting, please can you print off your own copy of the agenda pack prior to the meeting.



# **A G E N D A**

**1. Welcome and Introduction**

**2. Apologies for absence**

**3. Declarations of Interest**

Members are requested at a meeting where a disclosable pecuniary interest or personal interest arises, which is not already included in their Register of Members' Interests, to declare any interests that relate to an item on the agenda.

Where a Member discloses a Disclosable Pecuniary Interest, he/she must withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest, except where he/she is permitted to remain as a result of a grant of a dispensation.

Where a Member discloses a personal interest he/she must seek advice from the Monitoring Officer or staff member representing the Monitoring Officer to determine whether the Member should withdraw from the meeting room, including from the public gallery, during the whole consideration of any item of business in which he/she has an interest or whether the Member can remain in the meeting or remain in the meeting and vote on the relevant decision.

**4. Minutes**

(Pages 5 - 14)

Minutes of the meeting held on 15 March 2023

**5. Corporate Risk Management**

(Pages 15 - 88)

Report of the Executive Director of Corporate Resources and Customer Services.

A presentation will be provided by the Assets and Property Manager on the Corporate Risk – 'Condition of Assets'.

**6. Financial Management Code**

(Pages 89 - 116)

Report of the Executive Director of Corporate Resources and Customer Services

- 7. Treasury Management Outturn 2022/23 and Position to June 2023** (Pages 117 - 132)  
Report of the Executive Director of Corporate Resources and Customer Services
- 8. Risk and Audit Service Performance** (Pages 133 - 200)  
Report of the Executive Director of Corporate Resources and Customer Services
- 9. Audit and Governance Committee Work Programme Update Report** (Pages 201 - 208)  
Report of the Executive Director of Corporate Resources and Customer Services